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|---|---|-----------------|
| FORM PTO-1449<br>U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE<br><br><b>LIST OF REFERENCES CITED BY APPLICANT</b><br><i>(Use several sheets if necessary)</i> | ATTORNEY DOCKET NO.<br>1448.1054              | APPLICATION NO. |
|   | FIRST NAMED INVENTOR<br>Yoichi OIKAWA, et al. |                 |
|   | FILING DATE<br>March 24, 2004                 | GROUP ART UNIT  |

### U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |    | DOCUMENT<br>NO. | DATE | NAME | CLASS | SUB-<br>CLASS | FILING<br>DATE |
|---------------------|----|-----------------|------|------|-------|---------------|----------------|
|                     | AA |                 |      |      |       |               |                |
|                     | AB |                 |      |      |       |               |                |
|                     | AC |                 |      |      |       |               |                |
|                     | AD |                 |      |      |       |               |                |
|                     | AE |                 |      |      |       |               |                |
|                     | AF |                 |      |      |       |               |                |

### FOREIGN PATENT DOCUMENTS

|    |    | DOCUMENT<br>NO. | DATE    | COUNTRY | CLASS | SUB-<br>CLASS | TRANSLATION<br>YES NO |  |
|----|----|-----------------|---------|---------|-------|---------------|-----------------------|--|
| ME | AG | 8-143369        | 06/1996 | Japan   |       |               | abs                   |  |
|    | AH | 9-049994        | 02/1997 | Japan   |       |               | abs                   |  |
|    | AI | 11-326855       | 11/1999 | Japan   |       |               | abs                   |  |
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|    | AL | 2002-090563     | 03/2002 | Japan   |       |               | abs                   |  |

### OTHER REFERENCES (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

|  |    |  | TRANSLATION<br>YES NO |  |
|--|----|--|-----------------------|--|
| ME   | AM | Nakazawa, T., LiNbO <sub>3</sub> Tunable Wavelength Filter", Configuration and Operating Principle of AOTF, OPTORICS, 1995, May 10, 1999, pages 155-156.                                       | X                     |  |
|  | AN | T. Nakazawa, et al., "Development of Lithium-Niobate Tunable Filters", TECHNICAL RESEARCH REPORTS OF THE INSTITUTE OF ELECTRONICS, INFORMATION AND COMMUNICATION ENGINEERS, 1996, pages 79-84. | abs                   |  |
|  | AO | Yariv, Amnon, et al., "Optical Waves in Crystals, Propagation and Control of Laser Radiation", 1984.   |                       |  |
| EXAMINER <i>M. El-Shamman</i>  |    | DATE CONSIDERED <i>9.23.05</i>   |                       |  |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |    |  |                       |  |